

01/17/02



A

PTO-1082

 Assistant Commissioner for Patents
 Washington, D.C. 20231

Case Docket No. STL920000050US1

January 17, 2002

Express Mail Label No. EL873296095US

0055.0049

Dear Sir:

Transmitted herewith for filing is the patent application of

 Inventor(s): S.V. Kauffman
 For: **METHOD, SYSTEM, AND PROGRAM FOR DEFINING ASSET
 CLASSES IN A DIGITAL LIBRARY**

 10/053115
 01/17/02

Enclosed are:

- ☒ 11 No. of Sheets of Drawings Sheet(s) of drawings + 0 extra copies;
31 pages of Application; 21 pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (Will follow.)
☐ An associate power of attorney.
☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☐ Declaration and Power of Attorney. (Will follow.)
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
☐ IDS enclosed - references .

| CALCULATION OF FEES | | | | | |
|--|------------------------------------|----------------------------|-----------------------|--|---------|
| ITEM | NO. OF CLAIMS FILED MINUS BASE* | NO. OF CLAIMS OVER BASE | X SM/LG ENTITY FEE | \$ AMOUNT | \$ FEE |
| A TOTAL CLAIMS FEE | 40 - 20* = | 20 | X \$9 or \$18 | \$360 | |
| B INDEPENDENT CLAIMS FEE** | 4 - 3* = | 1 | X \$42 or \$84 | \$84 | |
| C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | 444 |
| D MULTIPLE-DEPENDENT CLAIMS FEE | | | | SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280 | \$0 |
| E BASIC FEE* | | | | SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$740 | \$740 |
| F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | \$1,184 |
| G ASSIGNMENT RECORDING FEE | | | | \$ 40 | \$40 |
| **LIST INDEPENDENT CLAIMS 1, 15, 23, 32 | | | | | |

Please charge Dep. Acct. No. 09-0460 in the amount of

\$1,224

A copy of this sheet is enclosed.

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
☐ Check for \$ covering the Recordation of Assignment fee enclosed.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0460. **A copy of this sheet is enclosed.**
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.
☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 09-0460. **A copy of this sheet is enclosed.**
☒ Any patent application processing fees under 37 CFR 1.17.
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

David W. Victor

Registration No. 39,867

 Direct All Correspondence to:
 David W. Victor
 KONRAD RAYNES VICTOR & MANN LLP
 315 S. Beverly Drive; Suite 210
 Beverly Hills, CA 90212

Direct Telephone Calls to:

(310) 556-7983

Express Mail Label No. EL873296095US

PATENT

STL920000050US1

0055.0049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: --

S.V. Kauffman

Serial No.: --

Art Unit: --

Filed: January 17, 2002

For: **METHOD, SYSTEM, AND
PROGRAM FOR DEFINING ASSET
CLASSES IN A DIGITAL LIBRARY**

CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL873296095US

I hereby certify that patent application papers, including specification, claims and 11 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

January 17, 2002

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)



(Signature)